



SIMPLIFIED RCE/IEE AMENDMENT

PROJECT/ACTIVITY DATA

Project/Activity Name:	Development Objective 2: Improved Levels of Economic Growth & Social Development in the Western Highlands, Intermediate Result 2.3: Education Quality and Access Improved
Geographic Location(s) (Country/Region):	Guatemala
Amendment (Yes/No), if Yes indicate # (1, 2...):	Yes, Amendment 2
Implementation Start/End Dates (FY or M/D/Y):	FY 2012 – 2023
If Amended, specify New End Date:	06/30/2023
Solicitation/Contract/Award Number:	N/A
Implementing Partner(s):	N/A
Bureau Tracking ID:	LAC-IEE-18-53
Tracking ID of Related RCE/IEE:	LAC-IEE-12-70, LAC-IEE-17-23 (Amendment 1)
Tracking ID of Other, Related Analyses:	

ORGANIZATIONAL/ADMINISTRATIVE DATA

Implementing Operating Unit(s): (e.g. Mission or Bureau or Office)	USAID/Guatemala, Health and Education Office
Other Affected Operating Unit(s):	
Lead BEO Bureau:	
Funding Operating Unit(s): (e.g. Mission or Bureau or Office)	USAID/Guatemala, Health and Education Office
Funding Account(s) (if available):	DA, ESF, ESDF
Original Funding Amount:	\$102 million
If Amended, specify funding amount:	\$100 million
If Amended, specify new funding total:	\$202 million
Prepared by:	Aura Marina Sandoval
Date Prepared:	July 12, 2018

ENVIRONMENTAL COMPLIANCE REVIEW DATA

Analysis Type:	<input checked="" type="checkbox"/> Initial Environmental Examination Amendment <input type="checkbox"/> Request for Categorical Exclusion Amendment
Environmental Determination(s):	<input checked="" type="checkbox"/> Categorical Exclusion(s) <input checked="" type="checkbox"/> Negative <input type="checkbox"/> Positive <input type="checkbox"/> Deferred (per 22CFR216.3(a)(7)(iv))
RCE/IEE Expiration Date (if different from implementation end date):	
Additional Analyses/Reporting Required:	
Climate Risks Identified (#):	Low <u> x </u> Moderate <u> x </u> High <u> </u>
Climate Risks Addressed (#):	Low <u> x </u> Moderate <u> x </u> High <u> </u>

1.0 PURPOSE AND SCOPE

USAID/Guatemala Country Development Cooperation Strategy (CDCS) (2012-2019) approved by the Assistant Administrator for the Bureau of Latin America and the Caribbean on March 16, 2012 includes three Development Objectives (DO): DO1: Greater Security and Justice for Citizens, DO2: Improved Levels of Economic Growth and Social Development in the Western Highlands, and DO3 Improved Management of Natural Resources to Mitigate Impacts of Global Climate Change. DO2 includes the following Intermediate Results (IRs): IR 2.1: Broad Based Economic Growth and Food Security Improved, IR 2.2: Access to and Use of Sustainable Quality Health Care and Nutrition Services Expanded, and IR 2.3 Education Quality and Access Improved. The current CDCS, originally for 2012-2016, has been extended through March 16, 2019.

According to the Project Design Guidance issued in December 2011, a project generally focuses on the IR of the DO level if it is associated with relatively small levels of resources and/or incorporates highly integrated IRs. USAID/Guatemala decided that DO1 and DO3 each constitutes a project, and that for DO2 each IR constitutes a project.

LAC-IEE-17-23 covers activities included under DO2, IR2.3 Education Quality and Access Improved (**Education Project**).

The purpose of this amendment to the Initial Environmental Examination (IEE) (LAC-IEE-12-70) as well as its subsequent Amendment No. 1 (LAC-IEE-17-23) is to:

- Increase the total amount to be obligated from \$102 to \$202 million
- Extend the period of performance from FY 2018 to June 30, 2023

This Amendment No. 2 to the Education Project Project Appraisal Document includes the following justification for increasing the budget and extending the period of performance:

Guatemala has traditionally been a priority country for education in the Latin America and the Caribbean (LAC) region; therefore, there were significant increases to the education funds allocated to Guatemala especially during the FY 2014 – FY 2015 period. Although no further increases are envisioned for the near future, the Education Project team expects that future funding levels will continue to be approximately US\$20 million per year.

According to USAID/General Notice “Update on Washington Review and Approval of Country Development Cooperation Strategies (CDCSs)” of April 5, 2018, “The temporary pause in the review and approval of new CDCSs remains in effect.” As a result of this guidance, USAID/Guatemala will not develop a new CDCS at least until the work with the First Wave of countries that will apply the self-reliance principles to their strategies and programs concludes. Furthermore, the Strategy and Program Office in the LAC Bureau is currently working on an extension to the CDCS. Consequently, new PADs will not be developed until a new CDCS is in place and current PADs need to be extended. Per ADS 201.3.3.15 Expirations and Extensions, projects should not exceed ten years; therefore the maximum expiration date for the Education PAD is June 30, 2023.

A Climate Risk Assessment (attached) was done for the USAID Puentes Project, to be implemented under DO 2, IR 2.3 Education Quality and Access Improved. Based on the assessment of the Activity using the CRM tool, it was determined that the Climate Risk for Puentes Project is:

- Low risk for Task 1, Task 2 and 3, for activities related to actual training
- Moderate risk for Task 2 infrastructure and agriculture demonstration sites related to training, and infrastructure related activities
- High risk for Task 3 for the implementation of agriculture activities.

Additional mitigation measures will be identified depending on the sites of implementation, once they are defined and will be included in the EMMP.

No new or additional activities or modifications to activities under implementation are planned.

2.0 CERTIFICATION OF COMPLIANCE

- All other aspects of the activities and geographic scope remain unchanged from previous environmental analyses.
- Annual Work Plan(s) has/have been verified to undertake only those activities originally proposed, and all reporting requirements have been adhered to and remain unchanged.
- CRM risk assessments have been completed as noted above. All activities in accordance with Mandatory Reference for ADS Chapter 201 on Climate Risk Management for USAID Projects and Activities.

3.0 REVISIONS

Per 22CFR216.3(a)(9), when ongoing programs are revised to incorporate a change in scope or nature, a determination will be made as to whether such change may have an environmental impact not previously assessed. If so, this environmental analysis will be amended to cover the changes. Per ADS 204, it is the responsibility of the USAID A/COR to keep the MEO/REA and BEO informed of any new information or changes in the activity that might require revision of this amendment and environmental determination.

USAID APPROVAL OF AMENDMENT TO RCE/IEE

PROJECT/ACTIVITY NAME: Development Objective 2: Improved Levels of Economic Growth & Social Development in the Western Highlands, Intermediate Result 2.3: Education Quality and Access Improved

Bureau Tracking ID: LAC-IEE-18-53

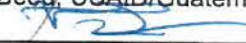
Approval:


John A. Beed, USAID/Guatemala Mission Director

7/24/18

Date

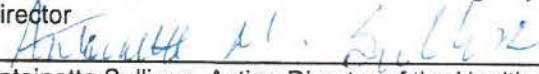
Clearance:


Kenneth Maclean, USAID/Guatemala Acting Deputy Mission Director

07/23/2018

Date

Clearance:


Antoinette Sullivan, Acting Director of the Health and Education Office

9-7-23/18

Date

Clearance:


Regina Soto, Mission Environmental Officer

07/13/18

Date

Clearance:


Joe Torres, Regional Environmental Advisor

07/15/18

Date


Concurrence:


Diana Shannon, Bureau Environmental Officer

July 25, 2018

Date

Drafter:


Aura M. Sandoval, Project Management Assistant (Education)

07/13/18

Date

1) Climate Risk Assessment Summary

The overall project goal is to improve the quality of life for youth aged 15-24 from the Western Highlands of Guatemala as measured by employment, education, development assets, and hopefulness indicators, thereby addressing the major drivers of undocumented external migration.

Youth in the Western Highlands are particularly disadvantaged due to a lack of investment by government in the region. This lack of investment is compounded by restricted access to available services and opportunities for youth that are female, indigenous, poor, have disabilities, have children, and live in either violent or geographically remote communities. Through the project, vulnerable youth will receive the information, knowledge, and skills to bridge to employment and economic opportunities as well as education, health, and social services. Youth will also develop a voice to engage with local government, the private sector, and service providers to improve access to quality services and generate new employment and economic opportunities.

The project will leave a legacy of greater government investment in youth; strong training institutions and programs that can provide youth with the full range of skills they need; a planning process at department level that engages government and the private sector to improve youth economic opportunities; and youth centers within communities that provide accessible, guided, and independent learning opportunities.

2) Climate Risk Management Summary Table

Tasks / Defined or Illustrative Interventions	Climate Risks <i>List key risks related to the defined / illustrative interventions identified in the screening and additional assessment.</i>	Risk Rating <i>Low / Moderate / High</i>	How Risks are Addressed <i>Describe how risks have been addressed in activity design and/or additional steps that will be taken in implementation. If you chose to accept the risk, briefly explain why.</i>	Opportunities to Strengthen Climate Resilience <i>Describe any opportunities to achieve multiple development objectives by integrating climate resilience or mitigation measures.</i>
Task1. Youth mobilization and intake (community meetings, house to house visits, youth centers, campaigns, information portal)	Landslides, floods, heavy rains that would prevent youth mobilization and participation during the above climate risk events.	Low due to short term impacts for youth participation	Make thorough risk evaluations of the areas where activities will take place to have plans to address the identified risks. (find alternative routes and venues for mobilization if a youth or community center is damaged)	Strengthening local institutions on evaluating and preventing risks practices Seek alternative meeting venues and alternative meeting dates for the mobilization.
Task 2. Core skills training (this activity may include small scale infrastructure, agriculture trainings/activities)	Landslides, floods, heavy rains, frosts, droughts	Low risk for training, but medium risk to infrastructure and agriculture production (demo sites)	Make thorough risk evaluations of the areas where activities will take place to have plans to address the identified risks Raising awareness in youth about climate risks and how they can be prepared to face them Design infrastructure to be resistant to frosts/droughts and	Including Climate change adaptation practices according to each discipline in the trainings. Seek alternative meeting venues and alternative meeting dates for training.

			<p>heavy rains (good drainage).</p> <p>Locate infrastructure outside of flood zones</p> <p>Locate agriculture demo plots outside of flood zones and areas prone to landslides.</p> <p>Use soil conservation methods and Best Ag Practices to minimize risks from drought and heavy rains.</p>	
<p>Task 3. Engagement</p> <p>(this activity may include small scale infrastructures, and implementing agriculture activities)</p>	<p>Landslides, floods, heavy rains, frosts, droughts</p>	<p>Low risk for training, but medium risk to infrastructure and high for agriculture production (demo sites)</p>	<p>Make thorough risk evaluations of the areas where activities will take place to have plans to address the identified risks</p> <p>Raising awareness in youth about climate risks and how they can be prepared to face them</p> <p>Design infrastructure to be resistant to frosts/droughts and heavy rains (good drainage).</p> <p>Locate infrastructure outside of flood zones</p>	<p>Include Climate Change awareness as a topic in the trainings, there is a big opportunity to have more adaptive and resilient communities</p>

			Best Ag Practices to minimize risks from drought and heavy rains.	
Task 3. Engagement (this activity may include small scale infrastructures, and implementing agriculture activities)	Landslides, floods, heavy rains, frosts, droughts	Low risk for training, but medium risk to infrastructure and high for agriculture production (demo sites)	<p>Make thorough risk evaluations of the areas where activities will take place to have plans to address the identified risks</p> <p>Raising awareness in youth about climate risks and how they can be prepared to face them</p> <p>Design infrastructure to be resistant to frosts/droughts and heavy rains (good drainage).</p> <p>Locate infrastructure outside of flood zones</p> <p>Locate agriculture demo plots outside of flood zones and areas prone to landslides.</p> <p>Use soil conservation methods and Best Ag Practices to minimize risks from drought and heavy rains.</p>	Include Climate Change awareness as a topic in the trainings, there is a big opportunity to have more adaptive and resilient communities

Based on the assessment of the Activity using the CRM tool, it was determined that the Climate Risk is:

- Low for Task 1, Task 2 and 3, for activities related to actual training
- Moderate for Task 2 infrastructure and agriculture demonstration sites related to training, and infrastructure related activities
- High for Task 3 for the implementation of agriculture activities.

Additional mitigation measures have to be identified depending on the site of implementation, once it is defined.

Regina Soto Junio/2017

Regina Soto

Mission Environmental Officer